

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

EBG
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SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2017

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	95.26%
Limit orders as percentage of total limit orders	3.85%
Other orders as percentage of total other orders	0.89%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	41.47%
2. Citadel Securities LLC	41.09%
3. G1 Execution Services, LLC	4.01%
4. Two Sigma Securities, LLC	3.40%
5. BATS BYX Exchange	2.88%
6. Instinet LLC	2.06%
7. National Financial Services LLC	1.21%
8. UBS Securities, LLC	1.12%
9. BATS BZX Exchange	0.99%
10. EDGX Exchange, Inc.	0.81%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.83%
Limit orders as percentage of total limit orders	17.44%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.58%
Limit orders as percentage of total limit orders	17.17%
Other orders as percentage of total other orders	91.76%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.11%
Limit orders as percentage of total limit orders	2.45%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.49%
Limit orders as percentage of total limit orders	1.91%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.00%
Limit orders as percentage of total limit orders	0.54%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.15%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.26%
Limit orders as percentage of total limit orders	0.27%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.04%
Limit orders as percentage of total limit orders	1.63%
Other orders as percentage of total other orders	8.24%

9. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	25.61%
Other orders as percentage of total other orders	0.00%

10. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.98%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	94.62%
Limit orders as percentage of total limit orders	4.30%
Other orders as percentage of total other orders	1.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	43.02%
2. KCG Americas LLC	41.99%
3. G1 Execution Services, LLC	4.23%
4. Two Sigma Securities, LLC	3.43%
5. BATS BYX Exchange	2.27%
6. UBS Securities, LLC	0.91%
7. BATS BZX Exchange	0.89%
8. EDGX Exchange, Inc.	0.84%
9. Instinet LLC	0.78%
10. NASDAQ Execution Services, LLC	0.56%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.99%
Limit orders as percentage of total limit orders	21.61%
Other orders as percentage of total other orders	44.00%

2. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.91%
Limit orders as percentage of total limit orders	19.60%
Other orders as percentage of total other orders	50.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.36%
Limit orders as percentage of total limit orders	2.51%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.58%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	0.00%

5. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.37%
Limit orders as percentage of total limit orders	0.50%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.91%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	0.00%

7. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.10%
Other orders as percentage of total other orders	2.00%

8. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.60%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.80%
Limit orders as percentage of total limit orders	0.50%
Other orders as percentage of total other orders	0.00%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.07%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	97.80%
Limit orders as percentage of total limit orders	1.70%
Other orders as percentage of total other orders	0.50%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	43.29%
2. KCG Americas LLC	39.69%
3. G1 Execution Services, LLC	5.36%
4. BATS BYX Exchange	3.60%
5. Two Sigma Securities, LLC	3.38%
6. Instinet LLC	1.90%
7. UBS Securities, LLC	0.95%
8. BNY Mellon Capital Markets LLC	0.55%
9. National Financial Services LLC	0.36%
10. EDGX Exchange, Inc.	0.35%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.44%
Limit orders as percentage of total limit orders	20.78%
Other orders as percentage of total other orders	91.11%

2. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.23%
Limit orders as percentage of total limit orders	20.13%
Other orders as percentage of total other orders	0.00%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.42%
Limit orders as percentage of total limit orders	3.90%
Other orders as percentage of total other orders	0.00%

4. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.69%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.44%
Limit orders as percentage of total limit orders	1.30%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.94%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.93%
Limit orders as percentage of total limit orders	1.95%
Other orders as percentage of total other orders	2.22%

8. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.55%
Limit orders as percentage of total limit orders	0.65%
Other orders as percentage of total other orders	0.00%

9. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.36%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	2.22%

10. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.78%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	58.28%
Limit orders as percentage of total limit orders	41.72%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NASDAQ PHLX Options	35.78%
2. The Chicago Board Options Exchange	21.88%
3. The MIAX Options Exchange	10.31%
4. NASDAQ Options Market	9.38%
5. BOX Option Exchange	7.19%
6. NYSE Amex Options	4.22%
7. ISE Gemini Options Exchange	4.06%
8. BZX Options Exchange, Inc.	2.81%
9. NYSE Arca Options	1.41%
10. EDGX Option Exchange	1.41%

Information Concerning Significant Venues :

1. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.97%
Limit orders as percentage of total limit orders	24.34%
Other orders as percentage of total other orders	0.00%

2. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.47%
Limit orders as percentage of total limit orders	16.85%
Other orders as percentage of total other orders	0.00%

3. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.99%
Limit orders as percentage of total limit orders	9.36%
Other orders as percentage of total other orders	0.00%

4. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.80%
Limit orders as percentage of total limit orders	21.35%
Other orders as percentage of total other orders	0.00%

5. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.31%
Limit orders as percentage of total limit orders	5.62%
Other orders as percentage of total other orders	0.00%

6. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.43%
Limit orders as percentage of total limit orders	1.12%
Other orders as percentage of total other orders	0.00%

7. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.27%
Limit orders as percentage of total limit orders	9.36%
Other orders as percentage of total other orders	0.00%

8. BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.74%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.54%
Limit orders as percentage of total limit orders	2.62%
Other orders as percentage of total other orders	0.00%

10. EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.88%
Limit orders as percentage of total limit orders	0.75%
Other orders as percentage of total other orders	0.00%